

FORM P-5-1449 (Colb) OCT 01 2001 U.S. PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO. LOY=2	SERIAL NUMBER 09/887,533
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION STATEMENT	APPLICANT Irit LOY et al.	
	FILING DATE June 25, 2001	GROUP ART UNIT N/A

U.S. PATENT DOCUMENTS

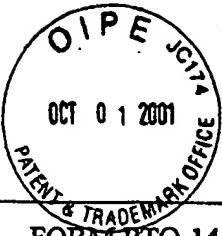
Examiner's Initials		DOCUMENT NO.	DATE	NAME	CLASS	SUB	FILING DATE
PG	AA	6,023,710	Feb. 2000	Steiner et al.			
PG	AB	6,021,508	Feb. 2000	Schmuck et al.			
PG	AC	6,023,706	Feb. 2000	Schmuck et al.			
PG	AD	5,940,838	Aug. 1999	Schmuck et al.			
PG	AE	5,987,477	Nov. 1999	Schmuck et al.			
PG	AF	6,032,216	Feb. 2000	Schmuck et al.			
PG	AG	5,999,976	Dec. 1999	Schmuck et al.			
PG	AH	5,963,963	Oct. 1999	Schmuck et al.			
PG	AI	5,893,086	Apr. 1999	Schmuck et al.			

OTHER ART (Including Author, Bills, Pertinent Pages, Etc.)

PG	AJ	A. Miroshnichenko, "Data Management API: The Standard and Implementation Experiences", Veritas Software Corp., Mountain View, California, 1996, 12 pages.
PG	AK	"DMEpi: Data Management API for DFS/Episode", Transarc Corp., Pittsburgh, PA, December 1996, 16 pages.
PG	AL	R. Agarwalla et al., "HPSS/DFS: Integration of a Distributed File System with a Mass Storage System", NASA Goddard Conference on Mass Storage Systems and Technologies, IEEE Symposium on Mass Storage Systems, March 23-26, 1998, 15 pages.
PG	AM	"HPSS Release 4.1 New Features", 2000, 5 pages. (http://www.sdsc.edu/hpss/ReleaseInfo/release_4.1.html).
PG	AN	P. Lawthers, "The Data Management Applications Programming Interface", published in the <i>Proceedings of the Fourteenth IEEE Symposium on Mass Storage Systems, Second Int'l Symposium, entitled "Storage - At the Forefront of Information Infrastructures"</i> , Monterey, California, Sept. 11-14, 1995, pp. 327-335.
PG	AO	Tivoli® Storage Manager (Web Page), "Tivoli Storage Manager - IBM AIX Client", June 23, 2000, one page.

EXAMINER: Philip Dugay DATE CONSIDERED: 4/19/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

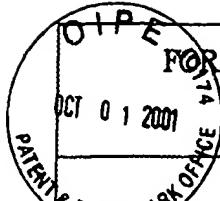


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OTHER ART (Including Author, Bills, Pertinent Pages, Etc.)

PG	AP	"NetWorker HSM – Advanced Data Management", Legato® Systems, Inc., 2000, three pages. (wysiwyg://96/http://portal1.legato.com/products/protection/networker/hsm/)
PG	AQ	"What is DMF?", SGI – Software: Data Migration Facility Overview, Silicon Graphics, Inc., 2000, 6 pages. (http://www.sgi.com/software/dmf/overview.html)
PG	AR	"CrosStor DMAP API-Compatible Event Management", CrosStor Software, Inc., XI, 1999, 6 pages. (wysiwyg://111/http://www.crosstor.com/technology/DMAPI.html)
PG	AS	"DFS Storage Management Runtime (SMR)", DFS Administration Guide, IBM Corp., 2000, 5 pages. (http://www.transarc.com/Library/documentation/dfs/3.1/AdminGd/duagd017.htm)
PG	AT	ADIC Inc., Software Products Web Page, 2000, 4 pages. (http://www.adic.com/US_English/Products/Software/fileServ/)
PG	AU	"An Introduction to GPFs 1.2", IBM Corp., Dec. 1998, 15 pages.
PG	AV	"GPFs Parallel File System", Almaden Computer Science Research, IBM Corp., 2000, one page. (http://www.almaden.ibm.com/cs/gpfs.html)
PG	AW	Product entitled "Veritas Storage Migrator for UNIX", Veritas Software Corp., Mountain View, California, 2000, one page. (http://www.veritas.com/us/products/storagemigratorunix/prodinfo.html)
PG	AX	"Tivoli® Space Manager for UNIX Using the Hierarchical Storage Management Clients", Version 3, Release 7, IBM Corp., April 2000, 230 pages.
PG	AY	"IBM General Parallel File System for AIX: Data Management API Guide", IBM Corp., July 2000, 56 pages.
EXAMINER: <i>Riley Dugay</i>	DATE CONSIDERED: 4/19/05	
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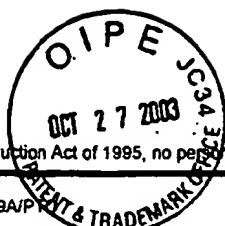
 FORM PTO-1449 (Colb) OCT 01 2001		ATTY DOCKET NO. LOY=2	SERIAL NUMBER 09/887,533
STATEMENT FOR APPLICANTS' INFORMATION PATENTS AND PUBLICATIONS		APPLICANT Irit LOY et al.	
		FILING DATE June 25, 2001	GROUP ART UNIT N/A

OTHER ART (Including Author, Bills, Pertinent Pages, Etc.)

PG	/ AZ	"IBM General Parallel File System for AIX: Concepts, Planning, and Installation Guide", IBM Corp., December 2000, 2 nd Edition, 106 pages.
PG	/ BA	"X/Open CAE Specification: Systems Management: Data Storage Management (XDSM) API Sanity-Check Draft", X/Open Co. Ltd., United Kingdom, July 1996, 178 pages.
PG	/ BB	"High Availability Cluster Multiprocessing for AIX Version 4.3", IBM Corp., 2000, 5 pages. (wysiwyg://17/http://www.rs6000.ibm.com/software/Apps/hacmp/)
PG	/ BC	R.L. Haskin, "Tiger Shark - A Scalable File System for Multimedia", <i>IBM Journal of Research & Development</i> , Vol. 42, No. 2 – Multimedia Systems, IBM Corp., 1998, 16 pages. (http://www.research.ibm.com/journal/rd/422/haskin.html)
PG	/ BD	"UniTree Announces High Performance XDSM (DMAPI) File System Product", UniTree Software, Inc., May 17, 1999, one page.
PG	/ BE	"CAE Specification: Data Storage Management – A Systems Approach", The Open Group, February 1997, table of contents (3 pages). (www.opengroup.org)
PG	/ BF	R. Gooch, "Overview of the Virtual File System", July 5, 1999, 8 pages. (http://www.atnf.csiro.au/~rgooch/linux/vfs.txt)
PG	/ BG	NOVELL: Netware 5.1: Detailed View, 2000, 8 pages. (http://www.novell.com/products/netware/details.html)
PG	/ BH	"Sun's Network File System (NFS)", 2001, 5 pages. (http://www.cs.tamu.edu/faculty/bettati/Courses/662/Spring-2001/Slides/HTTP/DFS/sl)
PG	/ BI	"Microsoft Distributed File System" [Microsoft Windows NT Server 4.0; Distributed Fi..], last updated September 15, 2000, 9 pages. (http://www.microsoft.com/NTServer/nts/downloads/winfeatures/NTSDistrFile/Admin.)

EXAMINER: *Ther Day* | DATE CONSIDERED: 4/19/05

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PTO/SB/08a (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 1

Substitute for form 1449A/P U.S. PATENT & TRADEMARK OFFICE		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			
(use as many sheets as necessary)			
Sheet	1	of	1
		Application Number	09/887,533
		Filing Date	June 25, 2001
		First Named Inventor	Irit LOY, et al.
		Group Art Unit	2171
		Confirmation Number	5801
		Attorney Docket Number	LOY=2

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FOREIGN PATENT DOCUMENTS

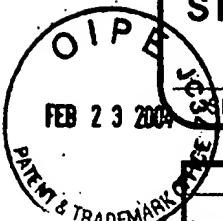
Examiner Signature	Philip Dwyer	Date Considered	4/19/05
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(use as many sheets as necessary)		Filing Date	June 25, 2001
C sheet	1	First Named Inventor	Irit LOY et al
	of 1	Group Art Unit	2171
		Examiner Name	E. Leroux
		Attorney Docket Number	LOY=2



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Sheet 1 of 2

Complete if Known	
Application Number	09/887,533
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First Named Inventor	Irit LOY et al
Group Art Unit	2171
Examiner Name	
Attorney Docket Number	LOY-2

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FOREIGN PATENT DOCUMENTS

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Sheet 2 of 2

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First Named Inventor	Irit LOY et al.
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Signature	Philip Dugay	Date Considered	4/19/05
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		Filing Date	June 25, 2001
		First Named Inventor	Irit LOY et al.
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		Confirmation Number	5801
		Attorney Docket Number	LOY=2
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
PG	CN	EISLER, M. et al., "NFS File Sets", Connectathon '97, SunSoft, 1997, slides 1-27.	
PG	CO	ROSS, R. et al., "Using the Parallel Virtual File System", Clemson University, January 2001, pp. 1-30.	
PG	CP	"Sun HPC ClusterTools 3.1 Administrator's Guide", Sun Microsystems, November 2000, pp. i - 172.	

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